

# Effect of pump-induced particle agglomeration on CMP

## EXECUTIVE OVERVIEW

An investigation of pump-induced large particles and their impact on computer hard drive substrate CMP (chemical mechanical polishing) is summarized. Experiments have been performed to measure the particle agglomeration tendency during various pumping processes. The surface quality of NiP/Al substrates after CMP has been directly linked to the presence of these particles. The mechanism for formation and growth of these large particle sizes is elucidated in this study.

With low operating height of reading head to disks, microscratches, pits, and other micro defects on media surfaces, which may damage the data stored on the disk's magnetic coating, should be decreased to minimum in order to avoid read head crash [1]. A myriad of factors, such as strong chemical effect, pad, and polisher settings, are involved in producing defects on substrates in the CMP process.

Recently, the correlation between oversized particles contamination in slurry and formation of microscratches and pitting has been reported in semiconductor device fabrication [2]. Although a detailed mechanistic understanding of this process remains elusive, a clear link has been established between the presence of large particles and the level of defects. To quantify such a correlation, a series of new analytical methods and techniques for the characterization of the abrasive particles and other consumables in CMP slurries has been invoked [3]. To minimize the negative effect of oversized particles on CMP, slurry manufacturers and end users have started to pay attention to the slurry delivery and handling [4,5]. However, reports on the direct correlation between pump-induced oversized particle and specific defects in CMP have been scattered. The objective of this study is to illustrate the effects of a magnetically levitated centrifugal (MLC) pump and a bellows pump on particle agglomeration. Moreover, the performance of treated slurry is tested in NiP/Al rigid disk substrate polishing. The results reveal that use of a bellows pump

leads to a significant increase in the number of oversized particle in the slurry during circulation. In polishing tests, the pump-induced oversized particles are directly linked to microscratches on disk substrates.

## Pump-induced particle agglomeration

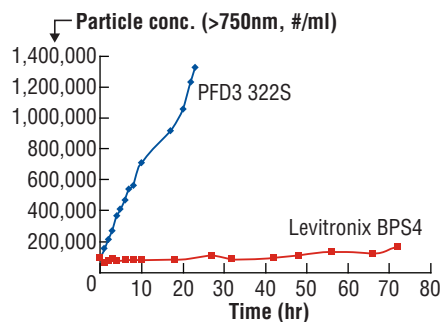
Magnetically levitated centrifugal (MCL) pumps, which transfer energy to a fluid through a spinning impeller, impart kinetic energy to the fluid handled. This is converted into pressure through diffusive action in the pump casing. MCL pumps have the advantage of seal-less design due to their air floating magnetically levitated impeller design.

After it was circulated for a certain period of time with a pumping system, the slurry was analyzed with particle sizing instruments, including light scattering [6] and acoustic spectroscopy [7]. To quantify the number of large particles, we used Accusizer or single particle-optical sizing technique (SPOS). SPOS exhibits the high sensitivity required to quantify the fraction of slurry particles constituting the large particle count of a CMP slurry [8]. In addition, we used the Micro-Flow Imaging (MFI) system from BrightWell Technologies Inc. The MFI platform

integrates micro-fluidics, optical assemblies, digital image acquisition, and image analysis algorithms to rapidly and accurately measure the physical properties of cell or particle populations (size, shape, transparency, count, and concentration). Post-processing and filtering capabilities are available, and results are displayed or exported in a variety of formats [9].

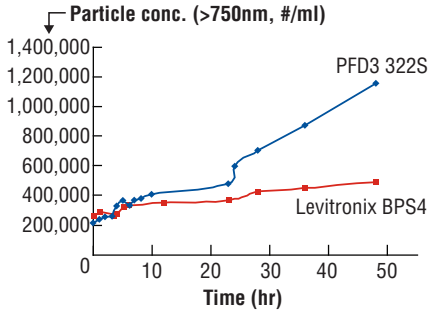
Figure 1 shows the growth of oversized particles in 12.5% Semi-Sperse 25 (Cabot Microelectronics Corp.) during a circulation process using two types of pumps. With the PFD3 322S bellows pump system, the concentration of oversized

particle (>750nm) increases from  $8.5 \times 10^4$  particle/ml to  $1.3 \times 10^6$  particle/ml after 23 hours. The oversized particle count increases almost linearly with circulation time. With the Levitronix BPS-4 system, the number of oversized particle count is relatively stable even after 72-hour circulation, lower than  $2 \times 10^5$  particle/ml for

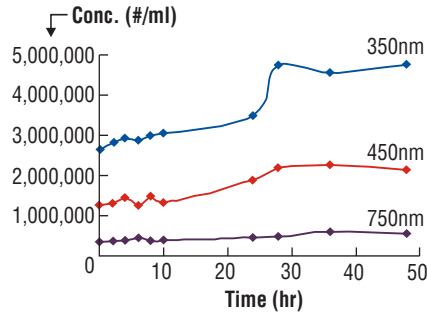


**Figure 1.** Increase of oversized particle in 12.5% Semi-Sperse25 (Cabot, Diameter: ~160 nm) processed with different pump (Levitronix BPS4 VS. PFD3 322S).

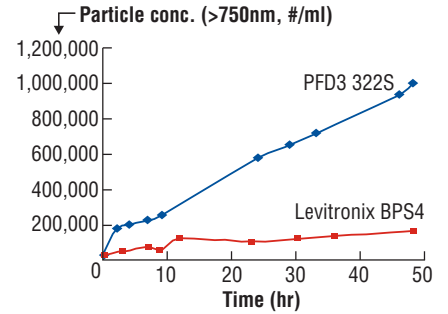
Yongqing Lan, Yuzhuo Li, St. Lawrence Nanotechnology, Potsdam, New York, USA



**Figure 2.** Increase of oversized particle in 20% BindZil EF70515 (Eka, Diameter: 70-80 nm) processed with different pump (Levitronix BPS4 VS. PFD3 322S).



**Figure 3.** Increase of large particle with different thresholds in 20% BindZil EF70515 (Eka, Diameter: 70-80 nm) pumped with PFD3 322S.



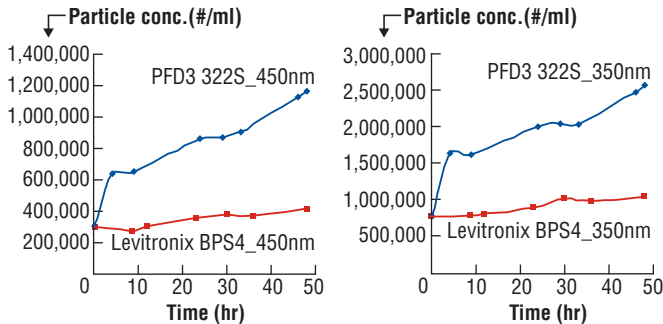
**Figure 4.** Increase of oversized particle in 15% NexSil 12 (NYACOL, Diameter: 30-40 nm) processed with different pump (Levitronix BPS4 VS. PFD3 322S).

particles larger than 750nm. When you use BindZil EF70515 as a model slurry, the higher growth rate of oversized particle was also observed in the PFD3 322S bellows pump system (Fig. 2).

Upon closer examination of the trends for large particle growth at different thresholds (350nm, 450nm, 750nm), a higher growing rate is observed for lower thresholds, as shown in Fig. 3. During 48-hour circulation, concentration of particles larger than 350nm increases from  $6 \times 10^5$  p/ml to  $4.8 \times 10^6$  p/ml. Growth rates for 450nm and 750nm particles are slower, from  $1 \times 10^6$  to about  $2.2 \times 10^6$  and  $4 \times 10^5$  to  $5 \times 10^5$  separately. This difference reveals that the growth of oversized particles in slurry from small particles may be a stepwise process.

For NexSil 12 colloidal silica from NYACOL, the oversized particle (750nm) in a bellows pump system goes up to  $1 \times 10^6$  p/ml, while this number in the Levitronix BPS-4 system stays below  $2 \times 10^5$  p/ml (Fig. 4).

As shown in Fig. 5, large particle counts with thresholds of 350nm and 450nm for NexSil 12 show similar trends. More specifically,



**Figure 5.** Increase of large particle with different thresholds in 15% NexSil 12 (NYACOL) processed with two pump systems (Levitronix BPS4 VS. PFD3 322S).

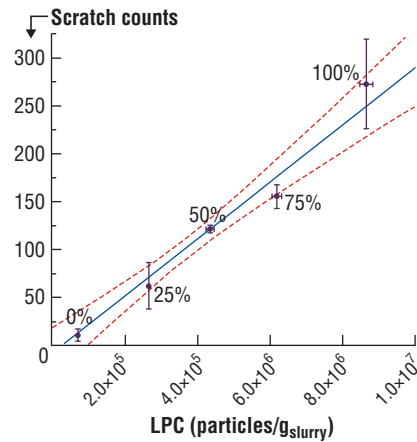
ally, there is a significant increase in large particles  $>350$ nm or  $>450$ nm using the Bellows pump while the numbers stay low in the Levitronix BPS4 system.

### Effect of oversized particle on NiP/Al polishing

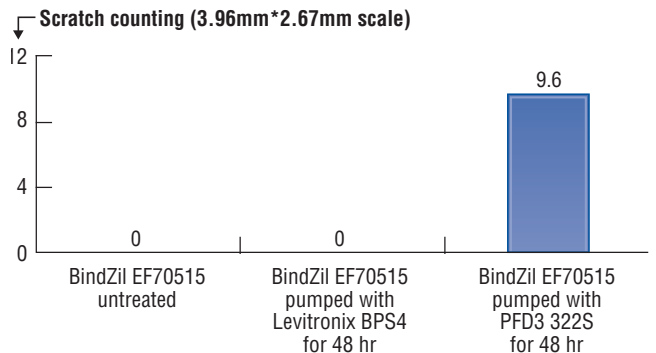
Limited reports can be found in literature on the correlation between the number of oversized particles in slurry and CMP defects [11,12]. These particles have been identified as micron-size, hard, and irregularly shaped aggregates of abrasive particles.

It should be noted that the researcher artificially added these extra large particles into the system; they were not part of the original slurry. It is highly questionable if the conclusion based on such a data set can be realistically generalized.

In our study, for the first time, a correlation between large particle count and scratch count found on an NiP surface after polishing has been established. More specifically as shown in Figs. 6 and 7, fresh slurry and the slurry treated with the Levitronix BPS4 pump show excellent surface finish (essentially scratch-free). However, slurry after it has been processed using a PFD 3 pump causes severe scratches on an NiP disk. It is worthwhile to emphasize that the large particles



**Figure 6.** Correlation between scratch counts and LPC determined for particles with diameter  $0.469 \mu\text{m}$   $\text{S03} + \text{S05}$  with mixtures of slurry A\_0 wt% slurry B\_ and slurry B. Weight% slurry B for the mixtures is labeled at the corresponding data point. The weighted linear regression fit to the data set and 95% confidence limits are represented by the solid line and the dashed lines, respectively. Error bars correspond to  $\pm 1$  standard deviation.



**Figure 7.** Scratch counting on NiP disks polished with pump-treated slurry.

found in the Bellows pump system are significantly higher than those found in a BPS4 pump system.

## Conclusion

There is a profound difference between the Levitronix (MCL) pump and traditional pumps in terms of their impact on the growth of large particles. For the first time, a direct link between such a difference and the scratch count has been established for NiP CMP. Thus, it can be said with confidence that a proper selection of pumping systems is critically important in minimizing the polishing defects. ■

## Reference:

1. IDEMA (The International Disk Drive Equipment and Materials Association), D.D.T., IDEMA, 2000, pp. 7-9.
2. Edward E. Remsen, z.S.A., David Boldridge, Mungai Kamiti, Shoutian Li, Timothy Johns, Charles Dowell, Jaishankar Kasthurirangan, and Paul Feeney, *Journal of The Electrochemical Society*, 2006, 153: pp. G453-G461.
3. M. Moynour, A.T., A. Oehler, and K. Cadien, *MRS Bull.*, 27, 2002, 743.
4. Budge Johl, M.L., Reto Schoeb, Proceedings of the 2nd PacRim International Conference on Planarization CMP and its Application Technology, Nov. 17-19, 2005, COEX, Seoul, Korea, pp 387-494.
5. Rakesh K. Singh, L.M., Entegris Inc. February 21-23, 2006 CMP-MiC Conference, Fremont, CA, pp. 429-432.
6. T. Kuntzsch, U.W., M. Hollatz, M. Stintz, and S. Ripperger, *Chem. Eng. Technol.*, 12, 1235 (2003).
7. A.S. Durkin and P. J. Goetz, C.S., A, 158, 343 (1999).
8. L.H. Hanus, S.A.B., and A. R. Wank, *MICRO*, 21, 71 (2003).
9. <http://en.wikipedia.org/wiki/Cavitation>.
10. D. Parfitt, "Dispersion of Powders in Liquids," r.e.A.S., London, 1976).
11. E.E. Remsen, S.P.A., D. Boldridge, M. Kamiti, and S. Li, *Mater. Res. Soc. Symp. Proc.*, 867, W2.4.1 (2005).
12. E. Remsen, S.A., D. Boldridge, M. Kamiti, S. Li, T. Johns, C. Dowell, J. Kasthurirangan, and P. Feeney, *Journal of The Electrochemical Society*, 153 (5) G453-G461 (2006).

## Acknowledgment

Micro-Flow Imaging system is a trademark of BrightWell Technologies.

**YONGQING LAN** is a graduate student and a PhD candidate at Clarkson U., Potsdam, NY, and received his bachelors' degree in chemistry from Central U. of Nationalities, China, in 2003. He received his masters in environmental chemistry at Chinese Academy of Sciences in 2006. Lan is investigating CMP of hard disk substrates such as NiP, glass in Al, and in combination with slurry metrology after pumping during CMP. He can be contacted at lany@clarkson.edu.

**YUZHUO LI** is a professor of chemistry at the Center for Advanced Materials Processing (CAMP), Department of Chemistry, Clarkson U., Potsdam, NY. He has investigated slurry development for copper CMP, STI CMP, and computer hard drive memory disc polishing, as well as factors affecting colloidal stability such as particle-particle interactions, polymer-particle interactions, surfactant-particle interactions, and surface adsorptions and deposition of various additives in dispersions. He can be contacted at yli@clarkson.edu.



# BETTER PUMPS FOR BETTER YIELDS!

Levitronix develops, markets, and sells pumps, mixers, and flow control systems to the Semiconductor Industry. Levitronix products use a revolutionary magnetic levitation technology, which allows for contact-free, and thus, particle-free operation.

With no mechanical wear, Levitronix pumps are ideal for CMP slurry handling, metal plating processes, wet cleaning and etching, and high purity fluid transfer and delivery. Levitronix pumps can deliver continuous flow which allows for precise control of flow and pressure.

The Levitronix pumping systems are designed for high-purity fluid applications where extremely low particle shedding and metal contamination are required. Tests\* conducted by an independent laboratory prove that particle generation from a Levitronix pump is significantly less than that from bellows pumps. It was shown that Levitronix pumps flush up 50 times faster

\* Test reports are available upon request

than bellows pumps of comparable hydraulic performance.

All wetted parts of the Levitronix pumps are made from high-purity fluorocarbon resins (PTFE, PFA, ECTFE, PVDF).

## KEY APPLICATIONS

- Highest market share of liquid pumps in single wafer processing tools.
- Widely used in electrochemical deposition.
- The ideal pump for CMP slurry handling — from bulk delivery to point of use flow control.
- Well-accepted in bulk chemical delivery.

**LEVITRONIX**®

45 First Avenue . Waltham, MA 02451  
(781) 622-5070 . info@levitronix.com  
[www.levitronix.com](http://www.levitronix.com)

# LEVITRONIX<sup>®</sup>



## Better Pumps For Better CMP Yields!

Unlike bellows pumps and diaphragm pumps, Levitronix pumps do not generate large particles while pumping CMP slurries. Independent studies have shown a reduction of scratch densities by 53% to 82% and a reduction of surface roughness by up to 85% compared to other pumps.

### With Bellows Pump



ULK wafer polished with slurry which was recirculated 1000x with a bellows pump



AFM image of wafer surface polished with slurry which was recirculated 1000x with a bellows pump

### With Levitronix Pump



ULK wafer polished with slurry which was recirculated 1000x with a Levitronix pump



AFM image of wafer surface polished with slurry which was recirculated 1000x with a Levitronix pump

Get your copy of the independent pump comparison reports

[CMP@LEVITRONIX.COM](mailto:CMP@LEVITRONIX.COM)

(+1) 781 622 5070

[WWW.LEVITRONIX.COM](http://WWW.LEVITRONIX.COM)